

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Leonard C. Harrison, et al.

Docket: 13406

Serial No.: 09/784,911

Dated: September 14, 2001

Filed: February 16, 2001

For: PANCREATIC ISLET CELL GROWTH FACTORS

Assistant Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION**

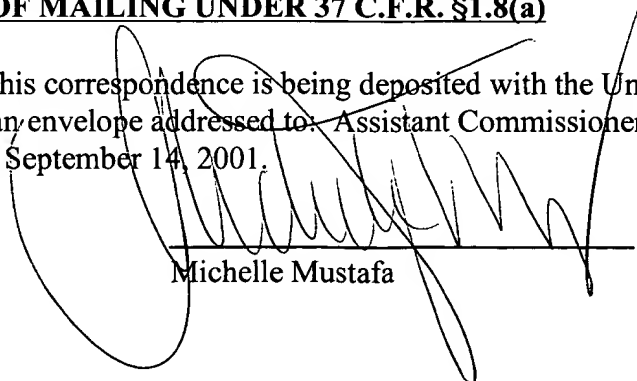
Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated July 17, 2001, Applicants hereby submit that a properly executed Declaration and Power of Attorney was previously filed in the United States Patent and Trademark Office on July 18, 2001. The requisite fee of \$65.00 surcharge for this filing was also paid on July 18, 2001. A copy of the previously filed Declaration and Power of Attorney is also enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on September 14, 2001.

Dated: September 14, 2001



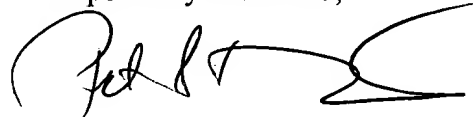
Michelle Mustafa

Applicants enclose an initial paper copy of the Sequence Listing and initial Computer Readable Form of the Sequence Listing together with a Statement stating that the Computer Readable Form is identical to the paper copy of the Sequence Listing.

Any additional charges required in connection with this submission may be charged to Deposit Account No. 19-1013/SSMP. A duplicate of this sheet is enclosed.

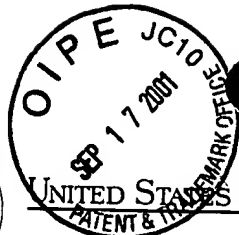
This submission is believed to be timely and in compliance with 37 C.F.R. §1.84. A copy of the Notice to File Missing Parts of Non-Provisional Application dated July 17, 2001 is also enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Peter I. Bernstein', with a stylized flourish at the end.

Peter I. Bernstein
Registration No. 43,497

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343
PIB:ab



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/784,911	02/16/2001	Leonard C. Harrison	13406

CONFIRMATION NO. 5393

FORMALITIES LETTER



OC000000006303448

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NY 11530

Date Mailed: 07/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted


An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



#4

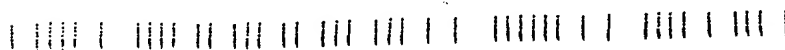
— copy —

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Submission of Declaration and Power of Attorney (in duplicate)
2. Executed Declaration and Power of Attorney
3. Check in the amount of \$65.00
4. Certificate of Mailing



Applicant: Leonard C. Harrison, et al.
Serial No.: 09/784,911
Filed: February 16, 2001
For: PANCREATIC ISLET CELL GROWTH FACTORS
Docket: 13406
Dated: July 18, 2001
LP:PIB:lf



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- COPY -

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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Leonard C. Harrison, et al.

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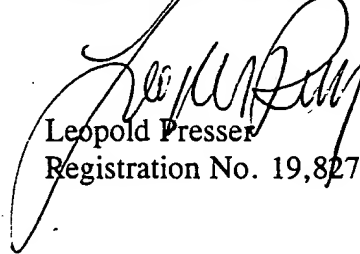
SUBMISSION OF DECLARATION
AND POWER OF ATTORNEY

Sir:

Enclosed is a Declaration and Power of Attorney for the above application previously filed without a declaration. The requisite \$65.00 surcharge for this filing is enclosed by check.

Any additional charges required in connection with this submission may be charged to Deposit Account No. 19-1013/SSMP. A duplicate of this sheet is enclosed.

Respectfully submitted,



Leopold Presser
Registration No. 19,827

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343
PIB:lf

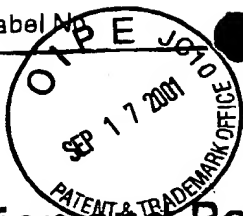
CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on July 18, 2001.

Dated: July 18, 2001

Janet Grossman

- COPY -



Docket No.
13406

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PANCREATIC ISLET CELL GROWTH FACTORS

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 16 February 2001 as United States Application No. or PCT International

Application Number 09/784,911

and was amended on

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

- CJP Y -

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/183,573

18 February 2000

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

— CDPV —

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

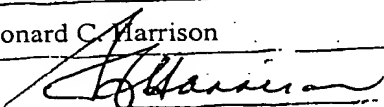
Stephen D. Murphy; Reg. No. 22,002
 Leopold Presser; Reg. No. 19,827
 William C. Roch; Reg. No. 24,972
 Kenneth L. King; Reg. No. 24,223
 Frank S. DiGiglio; Reg. No. 31,346
 Edward W. Grolz; Reg. No. 33,705

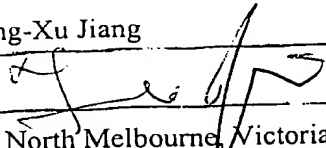
Paul J. Esatto, Jr.; Reg. No. 30,749
 John S. Sensory; Reg. No. 28,757
 Mark J. Cohen; Reg. No. 32,211
 Richard L. Catania; Reg. No. 32,608

Send Correspondence to:

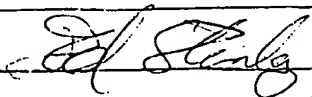
Scully, Scott, Murphy & Presser
 400 Garden City Plaza
 Garden City, New York 11530

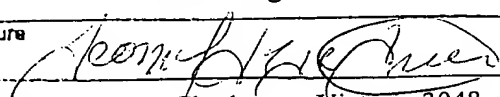
Direct Telephone Calls to: (name and telephone number)

Full name of sole or first inventor	Leonard C. Harrison	Date
Sole or first inventor's signature		1/6/01
Residence	27 Park Street, St Kilda West, Victoria 3182, Australia	
Citizenship	Australian	
Post Office Address		

Full name of second inventor, if any	Fang-Xu Jiang	Date
Second inventor's signature		1/6/01
Residence	285 Flemington Road, North Melbourne, Victoria 3051, Australia	
Citizenship	Australian	
Post Office Address		

— COPY —

Full name of third inventor, if any	Edouard Guy Stanley	Date
Third inventor's signature		01/5/2007
Residence	20 Mascoma Street, Ascot Vale, Victoria 3032, Australia	
Citizenship	Australian	
Post Office Address		

Full name of fourth inventor, if any	Leonel Jorge Gonez	Date
Fourth inventor's signature		01/06/01
Residence	5 Ladner Court, Chadstone, Victoria 3048, Australia	
Citizenship	Australian	
Post Office Address		

Full name of fifth inventor, if any		Date
Fifth inventor's signature		
Residence		
Citizenship		
Post Office Address		

Full name of sixth inventor, if any		Date
Sixth inventor's signature		
Residence		
Citizenship		
Post Office Address		

— COPY —